

4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133
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OJ 858-638-6747
FJ 858-638-6727**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LIGHT2380																																														
Client Matter Number	2101501-165607																																														
First Inventor or Application Identifier:	Keo et al																																														
Title:	Smoothing Facets On An Optical Component																																														
Express Mail Label No.:	EL617043412US																																														
Application Elements (See MPEP chapter 600 concerning utility patent application contents)																																															
ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231																																															
<div><div>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing)</div><div>2. <input checked="" type="checkbox"/> Applicant claims small entity status</div><div>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>27</u>] (preferred arrangement set forth below)<ul style="list-style-type: none">• Descriptive title of the Invention• Cross References to Related Applications• Statement Regarding Fed sponsored R&D• Background of the Invention• Brief Summary of the Invention• Brief Description of the Drawings (if filed)• Detailed Description• Claim(s)• Abstract of the Disclosure</div><div>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>14</u>]</div><div>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]<ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Unsignedb. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</div><div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div><div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</div><div>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statement verifying identity of above copies</div></div> <tr><td colspan="2">ACCOMPANYING APPLICATION PARTS</td></tr> <tr><td colspan="2">9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</td></tr> <tr><td colspan="2">10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)</td></tr> <tr><td colspan="2">11. <input type="checkbox"/> English Translation Document (if applicable)</td></tr> <tr><td colspan="2">12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations</td></tr> <tr><td colspan="2">13. <input type="checkbox"/> Preliminary Amendment (____ pgs.)</td></tr> <tr><td colspan="2">14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</td></tr> <tr><td colspan="2">15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</td></tr> <tr><td colspan="2">16. <input checked="" type="checkbox"/> Express Mail Certification</td></tr> <tr><td colspan="2">17. <input type="checkbox"/> Request And Certification under 35 USC 122(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent</td></tr> <tr><td colspan="2">18. <input type="checkbox"/> OTHER: Check # _____ (\$____)</td></tr> <tr><td colspan="2">17. 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MEADOR</td></tr> <tr><td>Registration No.: (Attorney/Agent)</td><td>30,298</td></tr> <tr><td>Signature</td><td><i>Terrance A. Meador</i></td></tr> <tr><td>Date</td><td>November 15, 2001</td></tr>		ACCOMPANYING APPLICATION PARTS		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)		11. <input type="checkbox"/> English Translation Document (if applicable)		12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations		13. <input type="checkbox"/> Preliminary Amendment (____ pgs.)		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		16. <input checked="" type="checkbox"/> Express Mail Certification		17. <input type="checkbox"/> Request And Certification under 35 USC 122(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent		18. <input type="checkbox"/> OTHER: Check # _____ (\$____)		17. 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